

Michelle M. Sargent, Member

CFE, MBA, Series 3, 7, 63 *(inactive)*



Michelle M. Sargent is a Member of The Sargent Consulting Group, LLC and has been in the financial services industry for over 25 years. By successfully pairing and leveraging her knowledge of the derivatives, equities and options markets with forensic accounting and financial investigations, Michelle brings a unique knowledge base to the firm and specifically for securities-related disputes. She also serves as Marketing Director at SCG.

Michelle began her career at a premier international derivatives exchange located in Chicago. Managing teams as part of a revolutionary venture to launch the first electronic trading system in the world, she moved into large multi-national organizations servicing banks, brokers, broker-dealers, futures commission merchants, hedge funds, independent traders and proprietary trading groups. Recognizing that her clients would benefit from greater technical expertise, she earned numerous professional certifications in the financial services industry, specifically the Series 3 (Associated Person), Series 7 (Registered Representative), Series 63 (Uniform Securities Agent State Law Exam) and Certified Fraud Examiner (CFE).

Michelle has the rare gift of understanding, interpreting and translating business concepts into everyday language. Her skill as an exceptional communicator and strategic partner has enabled her to recommend solutions to a variety of financial services entities that have saved money, reduced infrastructure costs and achieved business continuity planning at significant savings. With her blend of business acumen, creative thinking, structured analysis and technical expertise, Michelle has helped clients design and implement effective Joint Application Development teams, network re-architecture strategies and problem-solving methodology programs.

A first-generation American, Michelle has traveled extensively. She has significant international client experience in Amsterdam, London and Paris training staff and management in administrative and operational controls, customer service operations, document retention and relationship management protocol.

Michelle graduated from Northwestern University in Evanston, IL with a dual major in Economics and Political Science. She went on to receive a Masters in Business Administration from The University of Chicago, Booth Graduate School of Business. She has been an active supporter of women professionals and is a member of a variety of organizations including the American Bar Association, the Association of Certified Fraud Examiners, Professional Women's Club of Chicago and The University of Chicago Women's Business Group. Michelle is a task force member and moderator for the Illinois CPA Society's fraud conference and has presented courses on fraud and forensics at the American College of Forensic Examiners' Institute Executive Summit.

She recently served a dual role as the New Mother's Ministry Chairperson and Co-chair of Rebecca Circle, is currently Secretary for Sarah Circle (both circles of the United Methodist Women at her church) and actively supports and fundraises for The Lamb's Fold Shelter for Women and Children in Joliet, IL. In her abundant spare time she teaches Vacation Bible School and serves as a ballet/football/karate/soccer/wrestling mom.

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